

Monitoring and reporting of progress on HRS4R



HRS4R at Hasselt University

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Introduction



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Introduction

- Young university
- 2 campuses
- 6 research institutes
- 6 faculties







Facts & figures

FACTS & FIGURES		
Students	6.395	
Doctoral students	522 (of which 38% international students)	
Total researchers (= staff, fellowship holders, bursary holders, PhD. students either full-time or part-time involved in research	712.72	
Campuses	2	
Bachelor programmes	17	
Master programmes	23	
Faculties	6	
Research institutes	6	
Research priorities	6	



Facts & figures



Times Higher Education ranked the best 'small universities'. In that ranking Hasselt University achieved the 15th spot.



In the THE-raking of universities established between 1967 and 1985 (Generation X) we hold the 41st spot.



Hasselt University is ranked 73rd in the Times Higher Education-ranking of universities younger than 50 years.



Introduction

- Importance of research careers
- First Flemish universities

- Charter for Researchers and the Code of Conduct for the Recruitment of Researchers from the European Commission (2007)
- → HR Excellence in Research from EC (2011)



Introduction – HRS4R

Practice: Internal collaboration **HR** and **research** dept.

- 2011 Hasselt University receives label HR Excellence in Research
- 2014 mid-term evaluation
- 2017 institution-wide stakeholder consultation
- 2017 self-evaluation
- 2018 new action plan submitted to the European Commission
- 2018 working to renew HR Strategy for Researchers label



HR EXCELLENCE IN RESEARCH

Hasselt University has a long tradition of paying attention to research careers. In 2007 the university was one of the first Flemish universities to subscribe the Charter for Researchers and the Code of Conduct for the Recruitment of Researchers from the European Commission. On 1 April 2010, the Hasselt University Board of Deans expressed its wish to achieve the recognition of HR Excellence in Research from the European Commission. As first of all Flemish universities, Hasselt University obtained this recognition in 2011.

To renew our HR Strategy for Researchers label, the HR Department and the Research Coordination Office have organised an institution-wide stakeholders meeting on 27 September 2017. Researchers of all stadia of the research career were invited to conduct a gap analysis and formulate their advice regarding a new action plan. This action plan has been submitted to the European Commission on 26 January 2018 en is to be found on this web page.



HR Strategy for Researchers 2011-2017

Action plan

First self-assessment report 2014

Second self-assessment report 2017

HR Strategy for Researchers 2018-2021

Action plan



Today's programme



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Today's programme

- Monitoring and reporting on progress
 - 1) Monitoring
 - Who is the monitor?
 - How does the monitor monitor?
 - Self-assessment (gap analysis)
 - Stakeholders group
 - 2) Reporting
 - Who is the reporter?
 - How does the reporter report?
- Critical analysis
- Benefits for our University



Monitoring progress



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WHO is the monitor?



- Implementation committee
 - HR department (lead)
 - Research Office
 - Internationalisation
- Integration planned actions in Policy plans HR, R&I, internationalisation
- Policy plans contain Strategic/operational goals monitored by relevant departments.



HOW does the monitor monitor?

- MACRO level (implementation committee)
 - → translate actions into the policy plans
 - → "pull strings"
- MICRO level (departments)
 - → implementation of policy plans
 - Two examples



Example 1: Doctoral Schools

• HRS4R action plan 2011-2017

Action	Description action	Responsibility	Deadline
Action15	The Research Coordination Office establishes	Board of University	1st quarter
(C&C 28,	with the faculty of Sciences and the Faculty of	_	2014
30, 39)	Business Economics and the integration		
	associated faculties two additional doctoral		
	schools, with an optimized support to PhD		
	students preparing their doctoral project,		
	useful information about the labour market		
	and adequate training to position themselves		
	on that market		



Example 1: Doctoral Schools

2 - Policy plan Research and Innovation 2011-2016

(2) Research/science is about talent

SO2: Hasselt University aims at providing a high-quality research environment. This means that every research entity is shaped as a healthy pyramid in terms of junior and senior researchers and specialised technical personnel. New research talent receives the necessary training in the Doctoral Schools, focusing on the development of academic, more generic ("transferable") and business skills and an eye for valorisation. Talented young researchers, through appropriate embedding in the organisational structure and a clear career perspective, get the opportunity to develop via the tenure track policy and to optimally function. The expertise of the emeriti is also called upon actively by the research groups, whenever possible and useful.

OO1 In the period 2011-2016 the Doctoral Schools become operational, in line with the Flemish policy regarding the doctoral schools. Within the Schools doctoral students are counselled and formed, with adequate space and attention for the individual talents and preferences of each researcher. To this end seminars and workshops will be presented on specific topics in different areas of expertise, generic ("transferable") and business skills and valorisation.



Example 1: Doctoral Schools

3 – Monitor progress 2014 (mid-term evaluation 2014)

2.5 Career guidance and advice

Action 15	The Research Coordination Office establishes with the faculty of Sciences and the Faculty of Business Economics and the integration associated faculties two additional doctoral schools, with an optimized support to PhD students preparing their doctoral project, useful information about the labour market and adequate training to position	Deadline: 1st quarter 2014 Responsibility: Board of University Status: mid term
	themselves on that market	

The doctoral school for Science & Technology (WET, IIW) has been established in February 2013. The doctoral school for Behavioral Science & Humanities (BEW, REC, ARK) is planned early next year.

4 – Monitor progress 2017 (self-assessment 2017)

Action 15 Establishment of two doctoral schools, with optimised support for PhD students preparing their doctoral project, providing them with useful information about the labour market and adequate	Q1 2014	Research coordination office	The doctoral school for Medicine & Life Sciences was set up in February 2011. The doctoral school of Sciences & Technology was established in February 2013 The doctoral school of Behavioural Sciences & Humanities was launched in April 2014.	completed
4-11 46	04 2014	11	C	To access



EXAMPLE 2: implementation and continuous optimization of the "Management of Vacancies"

HRS4R Action plan 2011-2017

Action	Description action	Responsibility	Deadline
		Research coordination office	2 nd quarter 2012
15)			

Action	Description action	Responsibility	Deadline
Action 5	The computer department creates an	Human Resources	4th quarter
(C&C 15)	electronic applicants' file	Department	2012



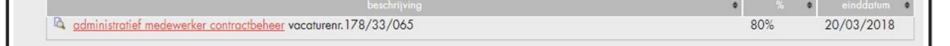
EXAMPLE 2: implementation and continuous optimization of the "Management of Vacancies"

VACATURES

De Universiteit Hasselt is voortdurend op zoek naar gemotiveerde medewerkers. Onze lijst met vacatures wordt regelmatig vernieuwd. Consulteer daarom regelmatig deze pagina.

Administratief en technisch personeel (ATP)

Management, administratieve en technisch ondersteunende functies



Bijzonder academisch personeel (BAP)

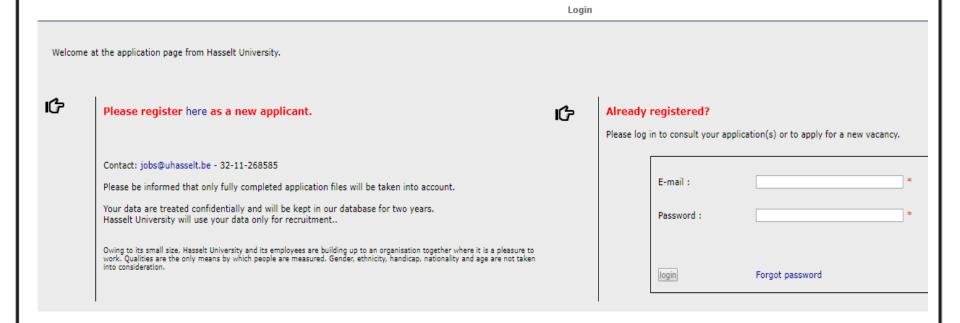
Doctoraatsbursalen, navorsers, doctor-navorsers





EXAMPLE 2: implementation and continuous optimization of the "Management of Vacancies"

Online application tool





Reporting progress



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WHO is the reporter?



THREE STEP PROCEDURE:

- Internal review HRS plan by implementation committee
- Stakeholder analysis
- Finalized report by implementation committee



TEMPLATE 3: INTERNAL REVIEW

Name Organisation under review:

Hasselt University

Organisation's contact details: Yves Soen, HR Director, yves.soen@uhasselt.be.

Web link to published version of organisation's HR Strategy and Action Plan.

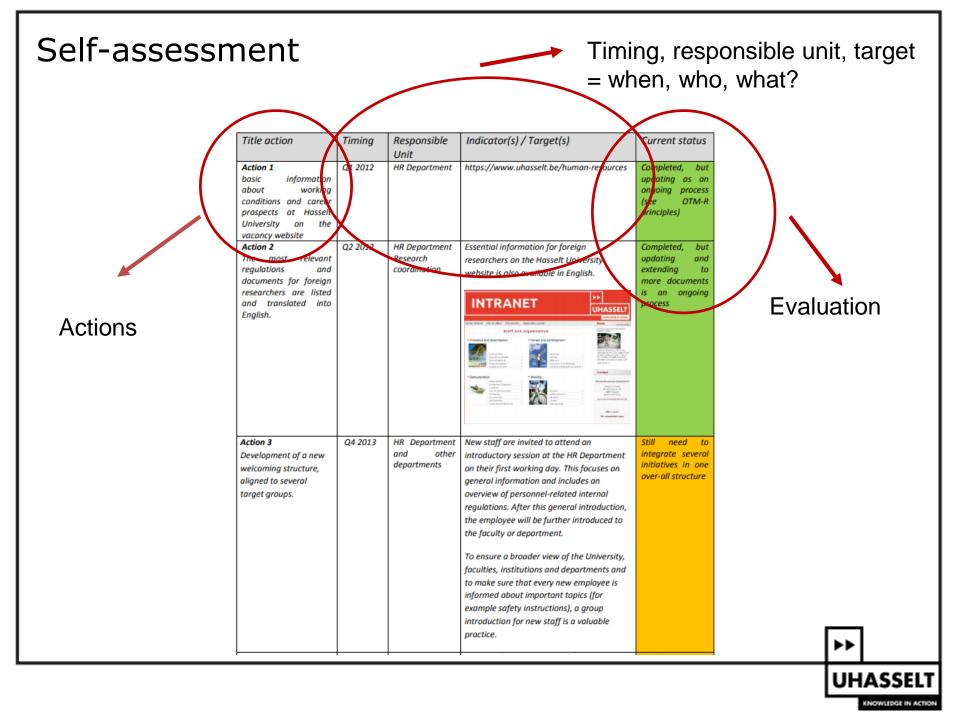
Web-link to organisational recruitment policy (OTM-R principles):45

https://www.uhasselt.be/human-resources

SUBMISSION DATE TO THE EUROPEAN COMMISSION:

Self-assessment (internal review)



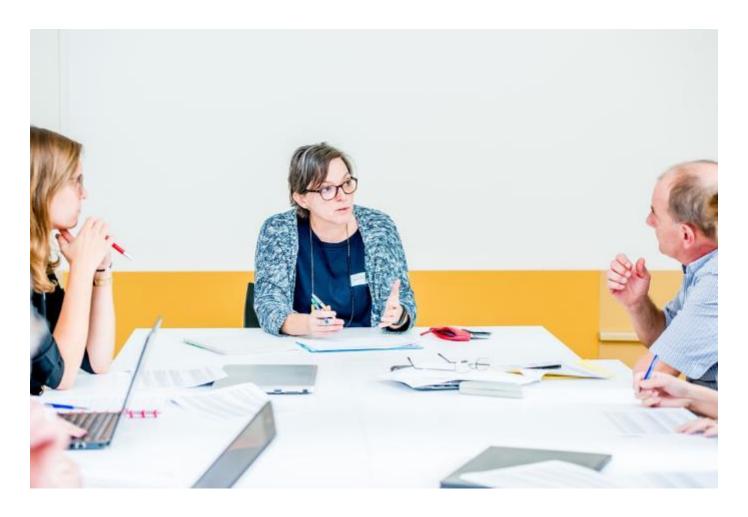




Stakeholders consultation



Stakeholder analysis - WHO





Stakeholder analysis - WHO





Stakeholder analysis - WHO





Stakeholder analysis - WHY

- Bottom-up input researchers themselves
- Broad support for the planned HRS4R actions
- Increase internal visibility of HRS4R label, policy and actions



Stakeholder analysis - HOW

2011

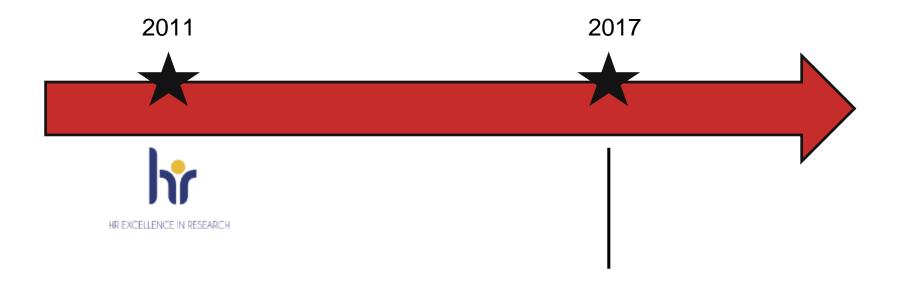




- Directors of research institutes and deans (max. 15 participants)
- Plenary: gap analysis
- Plenary: Input from participants on potential actions
- Each participant stick 4 post-its on actions of their primary concern
- → set priorities



Stakeholder analysis - HOW



- Expand researcher involvement also to non-executive level
- Well-balanced stakeholder group with an eye on diversity
- Plenary + three panel sessions
- → new action plan in preparation of the evaluation



Stakeholder analysis - HOW 3 Panels Training & Working conditions Recruitment & Ethical/professional & Social security **OTMR** aspects Same methodology **UHASSELT**

Stakeholder analysis - HOW

22. Recognition of the profession

All researchers engaged in a research career should be recognized as professionals and be treated accordingly. This should commence at the beginning of their careers, namely at postgraduate level, and should include all levels, regardless of their classification at national level (e.g. employee, postgraduate student, doctoral candidate, postdoctoral fellow, civil servants).

Relevant legislation	Existing Institutional rules and/or	Actions required	When/Who
(permitting or impeding the implementation of	practices		
this principle)			
- K.B. 5 juli 1996 tot wijziging KB van 28 november 1969 tot uitvoering van de wet van 27 juni 1969 tot herziening van de besluitwet van 28 december 1944 betreffende de maatschappelijke zekerheid van arbeiders - Universiteitendecreet - Wet van 3 juli 1978 betreffende de arbeidsovereenkomsten	 ZAP-statuut herschreven AAP-statuut momenteel herschreven BAP-statuut: herschrijving gepland doctoraatsreglement nieuw beleid t.a.v. postdocbursalen 	- pensioenen van internationale onderzoekers: niet best geregeld. Europa groepsverzekering laten ontwikkelen die het pensioen van internationaal mobiele onderzoekers zeker willen stellen aandachtspunt bursalen: werknemer vs. student. Meer duidelijkheid hierin brengen, ook naar de bursalen toe. Aantal stappen gezet in het verleden (vakantiegeld, ouderschapsverlof,) die niet terugdraaibaar zijn. Wel duidelijkheid scheppen over het statuut naar doctoraatsbursalen toe. Idem voor andere statuten (BAP, AAP).	



From stakeholder input to action plan

- Implementation committee
 - Prioritize
 - Attribute responsible unit
 - Attribute timing



Reporting TO...?

- European Commission
- Board of Deans
- Board of Directors

Internal media



Critical assessment & challenges



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Critical assessment & challenges

- Organisation stakeholder event = time consuming
- Involvement researchers = time consuming for researchers
- Eventual action plan: deadline / check with researchers and depts
- Timing of action plan: estimate
- Communication between the departments involved and to the researchers
- Need for adequate monitoring systems in order to set clearer quantitative targets and monitor progress.

Critical assessment & challenges

- For the future?
 - Implementation Committee on a structural basis (once a year)
 - Need for a quarterly management (evaluating per quarter):
 - What are we planning to do the next quarter?
 - What did we do the last quarter?



Benefits for the organisation



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Benefits for the organisation

- Quality label
- May become mandatory/exclusion criterion in H2020
- This label obligates us to work and actively reflect on a HR policy towards researchers
- Helps to determine the HR priorities of our university



Questions?

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Thank you.



